TORBAY COUNCIL

Financial Report School Forum 11th March 2021

Introduction

The following report contains a detailed breakdown of the projected position of the Local Area for 2020/2021. The report enables members to note the outturn position and the significant factors contributing toward the spend. The report covers the following items

- Forecast outturn position 2020/2021
- Contextual information regarding Early Years Block
- Contextual information regarding Higher Needs Block
- Position and Recommendations

Forecast Outturn Position 2020/21

The following table details the main areas of both over and under spend. Many of these budgets are demand led and will be monitored during 20/21 and revisions reported accordingly.

Dedicated Schools Grant (DSG) funded activities are currently forecast to **overspend by £2.041m.** This is a reduced deficit position of **£559k** from the previous Forum Meeting.

The following table details the main areas of both over and under spend. Many of these budgets are demand led and will be monitored during 20/21 and revisions reported accordingly.

Budget Heading	Budget	Actuals to date	Projected Outturn	Over / (Under) Spend			
Early Years 2, 3 & 4 yr old payments – PVI's & Academies	£5.638m	£5.277m	£5.538m	(£100k)			
Estimated budget clawback from ESFA for changes in Early Years pupil numbers between Jan 20 (9/12ths funding) & Jan 21 (3/12ths funding)							
Early Years – ALFEY	£265k	£262k	£282k	£17k			
Early Years – Pupil Premium & Disability Access Fund	£130k	£70k	£83k	(£47k)			
Early Years – 5% retained element	£364k	£293k	£339k	(£25k)			
Reclaim from ESFA of Early Years pupil no. adjustments between Jan 19 and Jan 20 higher than anticipated.				£45k			
Joint Funded Placements	£600k	£559k	£588k	(£12k)			
Recovery of funding from schools for Excluded Pupils, Medical Tuition Service and Elective Home Education	(£386k)	(£129k)	(£180k)	£206k			
Independent Special School Fees	£2.685m	£2.730m	£2.819m	£134k			
Other packages for EHCP pupils and SEND personal budgets	£1.125m	£1.016m	£1.350m	£225k			
Payments to / recoupment from other authorities for Special School places	(£230k)	(£200k)	(£230k)	£0k			

Medical Tuition Service / Virtual School /	£1.404m	£1.198m	£1.338m	(£66k)
Hospital Tuition / Vulnerable Students Team				
School contingencies (Rates, planned pupil	£261k	£169k	£169k	(£92k)
growth, NQT induction etc)				
EHCP in-year adjustments (see separate	£350k	£270k	£276k	(£74k)
paper for details)				
Special Schools / High Needs in-year	£600k	£619k	£650k	£50k
adjustments (see separate paper for details)				
School Intervention / Commissioning (includes	£142k	£68k	£85k	(£57k)
School Improvement Grant)				
Business Support	£190k	£144k	£161k	(£29k)
Other – including Admissions, EAL /				(£70k)
Travellers, Advisory Teachers				
Delay in opening of St Michael's Primary, no				(£217k)
ESFA recoupment of funds in 20/21.				
Contribution from LA Covid grants towards				(£195k)
salary costs of employees funded by DSG				
High Needs in-year pupil number adjustment-				£48k
Net import of pupils from other authorities				
reduced from 103 to 95 pupils				
Deficit DSG budget set for 20/21	(£2.2m)	£0	£0	£2.2m
Total – Forecast Outturn Position 20/21				£2.041m

Since the previous Forum we have applied the contribution of the LA Covid Grant to the budget, which has supported the overall deficit position by £195k.

The two areas of significant volatility are within the Early Years Block and Higher Needs Block.

Early Years Block

The Early Years spring headcount has been processed. School Forum will receive a detailed presentation on the demand and funding process for the Early Years block as part of the agenda.

The presentation will also cover the management of over and underspends within this block.

Higher Needs Block

Torbay continues to have a greater number of children requiring additional support up to and including a special school place than the funds available in the higher needs block can meet. However there is now greater clarity on some of the budgets that have impacted on the out turn position. This has resulted in a reduction of circa £364k compared to the outturn position in January 2021.

This improved position can be attributed to the following aspects:-

- Demand patterns not being within the normal rates during the pandemic.
- Officers not including demand reductions, as this has been hard to predict during this period.
- Not being able to get clear dispute resolutions with neighbouring authorities.
- Not all commissioned packages have cost as much as the original amount raised on FIMS due to the variance in provision/requirements over the year.

Reporting table on EHCP Allocation above £6k

	19/20	20/21	Increase /
			(Decrease)
Number of pupils with EHCP	444	470	26.00
Number of FTE's with EHCP	401	429	28.00
	£	£	£
Funding below £6k allocated through school formula elements	2,383,233	2,554,879	171,646
Funding above £6k allocated as a top-up per eligible pupil	1,929,955	2,122,040	192,085
EHCP Contingency	500,000	350,000	(150,000
In-Year adjustments			
April	89,037	16,946	(72,091
May	(2,510)	(104)	2,400
June	21,302	(11,737)	(33,039
July	12,688	4,062	(8,626
August	4,248	42,398	38,150
September	84,471	89,367	4,896
October	32,871	72,833	39,962
November	24,573	50,539	25,966
December	35,172	16,915	(18,257
January	9,789	(11,583)	(21,372
February	5,291	5,291	(
March	591	591	(
Total - In-Year adjustments	317,523	275,518	
Projected (underspend) / overspend	(182,477)	(74,482)	
Notes			
Based on Apr 20 to Jan 21 in-yr adjustments, and the same allocatior	n for the remainder of t	he	
financial year as 19/20, it is anticipated the EHCP contingency will ur	(74,482)		

The following table demonstrates the numbers of Special School Commissioned Placements and Adjustments.

	Combe	Combe	Mayfield	Mayfield	Mayfield	Brunel	Burton	B & B	Totals	Totals
	Pafford	Pafford	School	Chestnut	Total	SEMH	AP	Total		£
Number of places - January 20	252		217	33	250	56	50	106.00	608.00	
Number of pupils - January 20	248		216	33	230	47	60	100.00	604.00	
Number of places - September 20	240		231	32	243	56	55	111.00	636.00	
Initial Place led funding		2,578,333			2,575,833	560,000	529,167	1,089,167		6,243,333
Initial Pupil led funding		981,746			2,315,603	680,090	634,380	1,314,470		4,611,819
Initial pupil specific additional funding		25,362			54,176	51,967	0	51,967		131,505
Other funding - Outreach / exclusions / rent					235,921			0		235,921
Pupil Premium		153,025			152,205	62,553		62,553		367,783
Total initial funding		3,738,466			5,333,738	1,354,610	1,163,547	2,518,157		11,590,361
In Year adjustments	Pupils	Funding	Mayfield	Chestnut	Funding	SEMH	AP	Funding	Pupils	Funding
In-Year adjustments	Fupils	£	Pupils	Pupils	£	Pupils	Pupils	£	Fupils	£
April	248	22,645	216	34	15,691	49	64	182,065	611	220,401
May	248	0	216	34	0	50	63	3,572	611	3,572
June	248	4,607	216	34	0	51	65	38,013	614	42,620
July	248	0	215	34	(10,285)	53	50	(97,241)	600	(107,526
August	248	0	215	34	0	53	50	0	600	(,
September	258	38,944	227	31	37,741	49	43	(76,936)	608	(251
October	257	(226)	227	30	(6,030)	50	44	12,522	608	6,266
November	256	3,788	227	30	0	50	44	0	607	3,788
December	257	7,854	225	30	(4,897)	51	50	25,969	613	28,926
January	255	(1,578)	225	32	6,030	50	50	(3,618)	612	834
February	255	504	225	33	2,010	50	50	0	613	2,514
March									0	(
Total In -year pupil / place led adjustments		76,538			40,260			84,346		201,144
Enhanced Dravision (in year changes in pupil numbers)										29,396
Enhanced Provision (in-year changes in pupil numbers) Excluded Pupils / 6th Day Provision Mayfield (Sept - Dec)										29,396
Excluded Pupils / 6th Day Provision Mayned (Sept 9 Dec)	sion (Ian - Mar)									28,500
Additional outreach funding for Mayfield	SIOTI (Jati - Mar)									17.868
In-year pupil specific additional funding		60,465			148,048			95,161		303,674
Total - In-Year adjustments		137,003			188,308			179.507		618,582
		157,005			100,000			113,301		010,302
Special School / High Needs contingency budget										600,000
Our set for the second of the second set										40 500
Current (underspend) / overspend										18,58

Position

The final outturn position of the Local Area continues to be of significant concern. The position remains volatile and continued actions need to be taken to try and mitigate spend.

The cumulative overspend of the DSG is now £3.778m.

Recommendation and Decisions

It is requested that Schools Forum:

1. Note the financial position and continue to work with the Local Authority through the mechanism of the Higher Needs Recovery Group to enact the financial recovery plan.

Rachael Williams

Assistant Director Education, Learning and Skills